

Validation of the CERES Ed4 & Ed2 Arctic Cloud Properties using DOE ARM NSA Ground-Based Retrievals

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Location of ARM Northern Slope of Alaska (NSA Site)



Clouds at ARM NSA site represent typical Arctic clouds with cloud fraction $\sim 80\%$.

A grid box (100 km x 100 km) includes half ocean and half land surface.

Low cloud microphysical properties (re/tau/LWP) over open water and land during Summer months are compared.

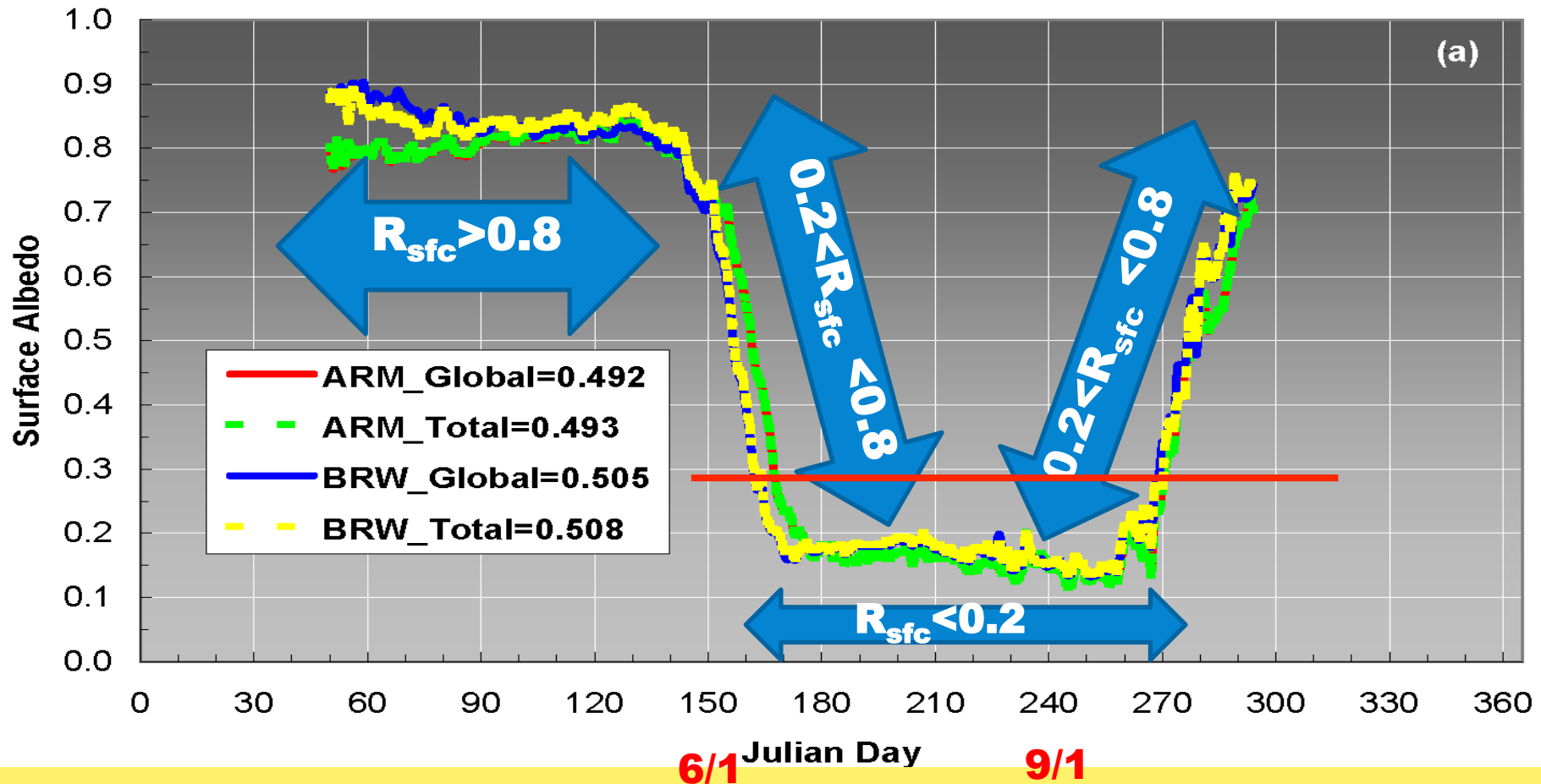
Challenges for Satellite cloud retrievals

Can passive satellites accurately retrieve Arctic cloud microphysical properties under the conditions of

- 1) no snow/ice covered surfaces ($R_{\text{sfc}} < 0.3$)? If so, do they agree with the ground-based retrievals?**
- 2) highly reflecting snow/ice covered surfaces ($R_{\text{sfc}} > 0.3$) from October to early June?**
- 3) Do the satellite retrievals change with surface albedos and solar zenith angles?**

Seasonal variation of daily surface albedo

Daily Surface Albedo at Barrow, Alaska (06/1998-05/2008)



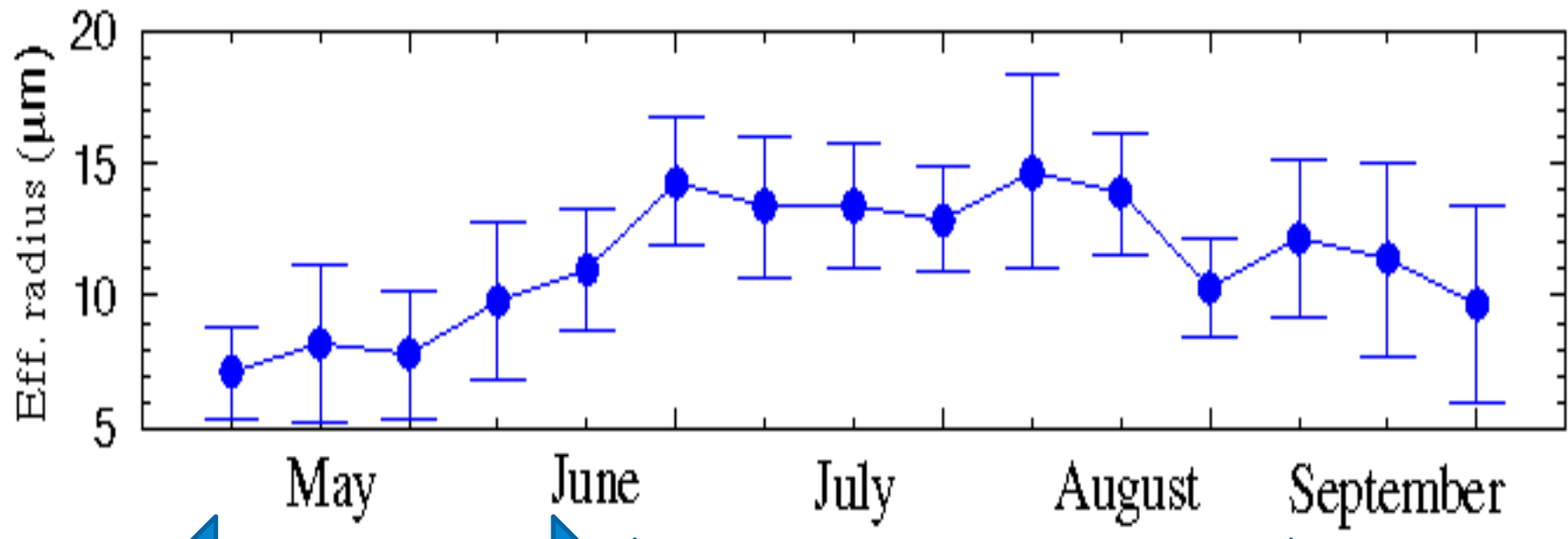
- Snowmelt occurred from late May to early June
- $R_{sfc} \geq 0.3 \rightarrow$ snow/ice period
- $R_{sfc} < 0.3 \rightarrow$ no snow from middle June to late Sept.
- CERES uses monthly albedo maps w/out snow, it may lead to some errors in cloud retrievals during transition seasons.

Datasets and Goal

- 1) Only single-layered overcast low clouds with liquid or liquid dominant mixed-phase clouds have been selected under NO snow (surface albedo <0.3) and snow ($R_{SFC}>0.3$) conditions.**
- 2) Only cloud microphysical properties, such as r_e , τ , and LWP, are compared in this study over the ARM NSA site**
- 3) Time period: March 2000 to December 2006**
- 4) A total of 701 samples selected during studying period including 404 samples without snow and 297 samples under snow condition.**

The goal of this study will partially answer three questions posed in the beginning.

Retrieved low-level cloud-droplet effective radius from ARM NSA measurements and RTM (Dong and Mace, 2003, J Clim.)



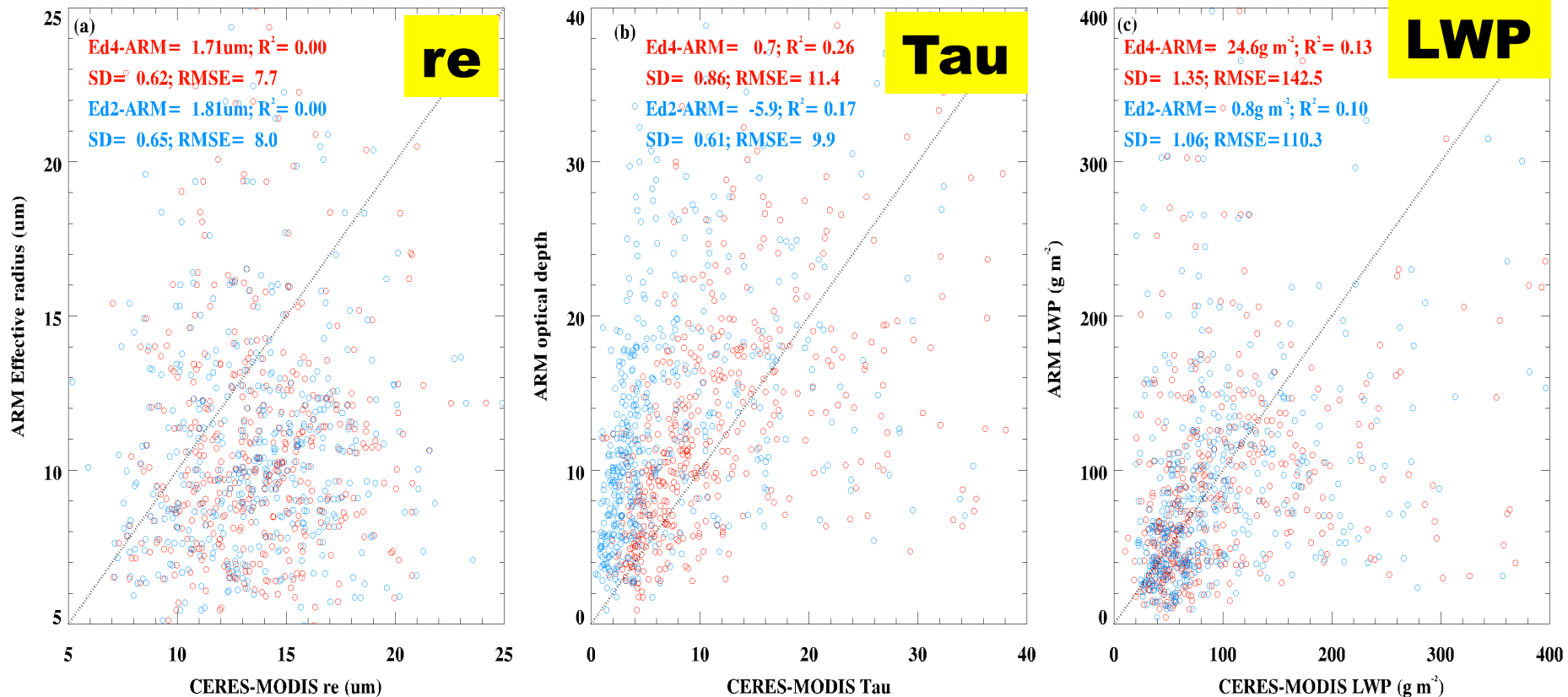
- During the snow/ice covered periods, $r_e \sim 7\text{-}10 \mu\text{m}$, close to r_e at the ARM SGP site (continental)
- During summer, $r_e \sim 13 \mu\text{m}$, close to r_e at the ARM Azores site (MBL).
- These retrievals were compared with in-situ data during SHEBA and M-PACE.

Objective 1 (No Snow)

Based on 404 selected samples (No snow), we want to know

1) Any improvements of CERES **Ed4 derived cloud microphysical properties (re, tau, LWP) compared to **Ed2** over NO snow/ice covered surfaces (Ocean side and land side over the ARM NSA site)?**

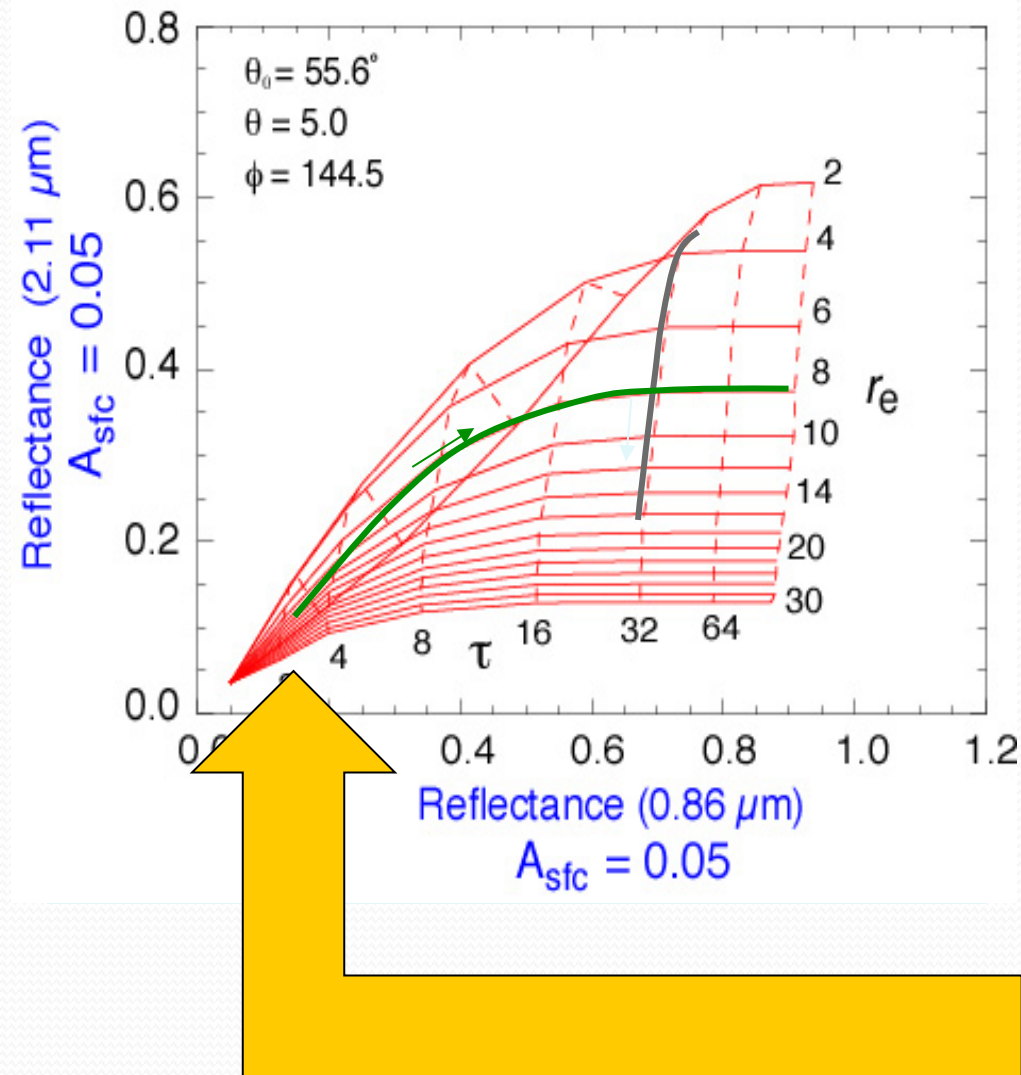
Comparisons of ARM results with CERES **Ed4** and **Ed2**



- **Re:** **Ed4/Ed2** are 1.7 μm larger than ARM with no correction.
- **Tau:** **Ed4** - ARM is 0.7 with $R^2 = 0.26$, **Ed2** - ARM = -5.9.
- **LWP:** **Ed4** - ARM is 24 g m^{-2} with $R^2 = 0.13$, **Ed2** - ARM = 0.8 g m^{-2} due to higher re and lower tau.

Question: Why large scatter and low R^2 values?

Satellite-retrieved r_e and optical depth over Ocean Surface $R_{sfc}=0.05$

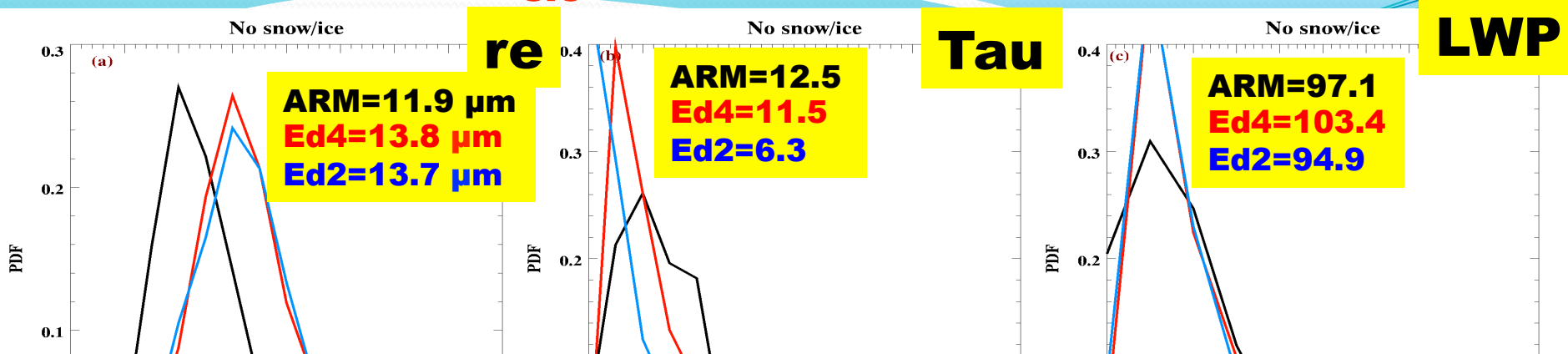


Optical depth increases with increased ($\lambda =$) 0.86 μm reflectance (X-axis)

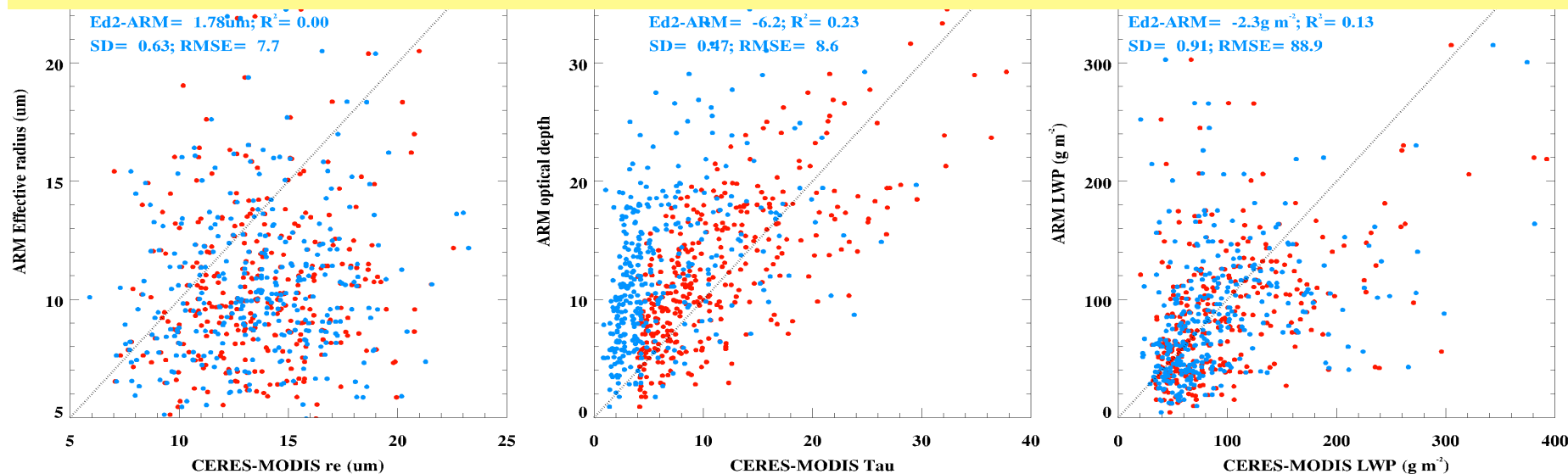
For a given optical depth, effective radius r_e increases with decreased ($\lambda =$) 2.1 μm reflectance (Y-axis)

2.1 μm reflectance reaches limiting values when $\tau < 4$. Therefore, we will only compare the samples (352) with $\tau > 4$ for no-snow periods.

Comparisons for $R_{sfc} < 0.3$: No Snow (352 Samples)

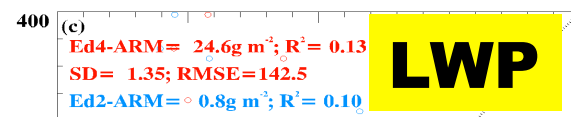
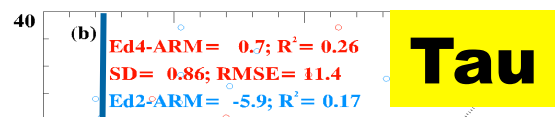
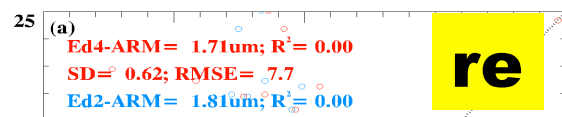


- **Re:** NO difference between **Ed4** and **Ed2**, but they are $\sim 1.9 \mu\text{m}$ larger than ARM retrievals.
- **Tau:** **Ed4** retrievals close to ARM results, but **Ed2** is only half. R^2 values increase from **0.38 (Ed2)** to **0.54 (Ed4)**.
- **LWP:** Both **Ed2** and **Ed4** are within 3-6 g m^{-2} of ARM.



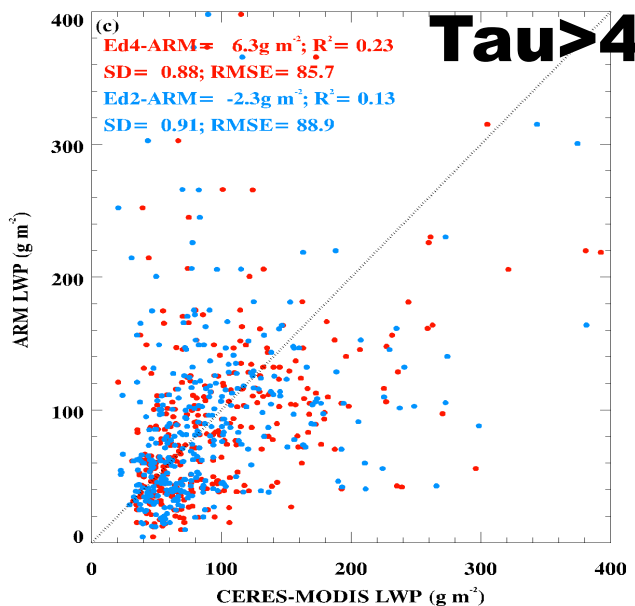
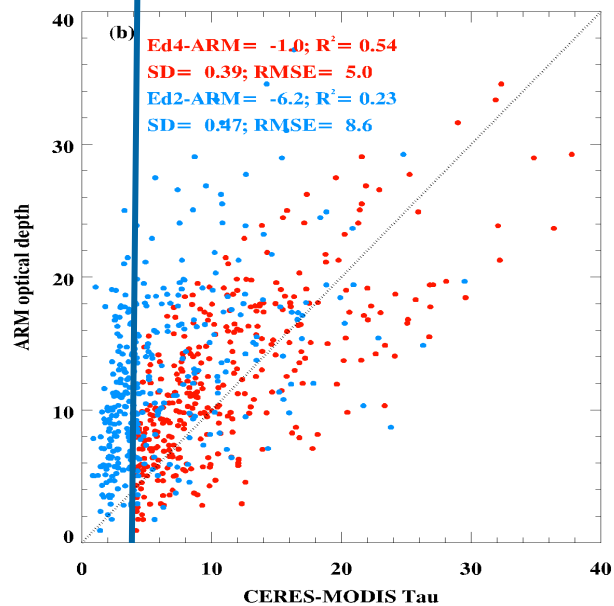
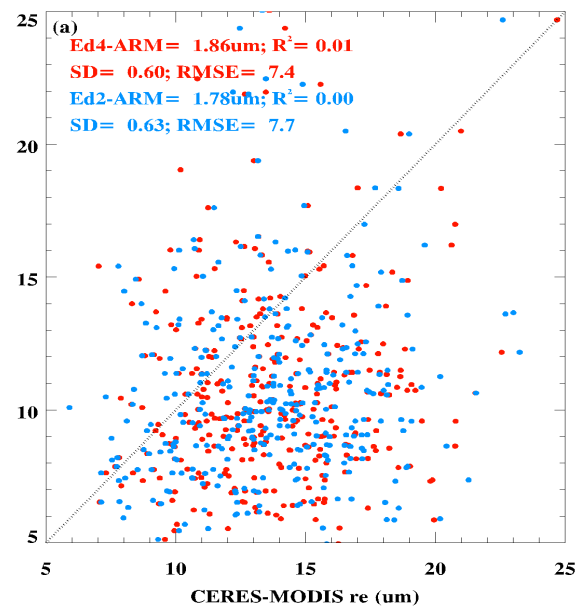
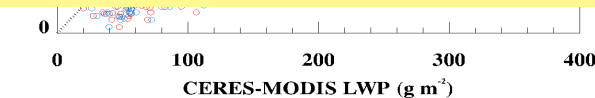
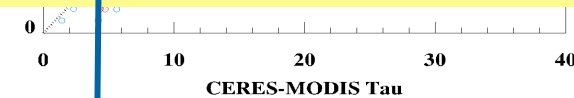
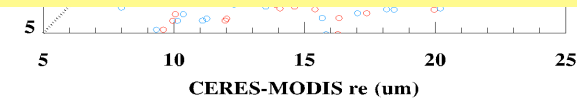
What is difference between all samples (404) and those (352) with $\text{Tau} > 4$ (No Snow)?

All



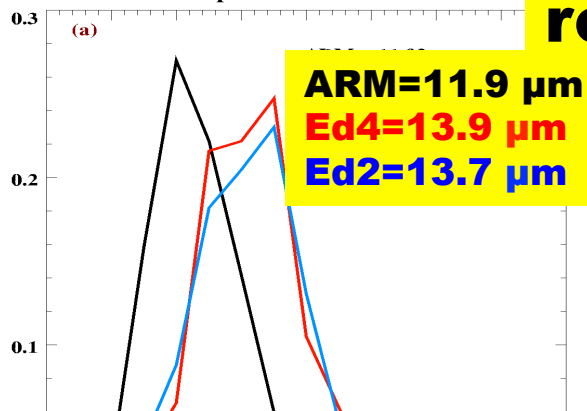
- **Re:** Little improvement in mean, R^2 , SD, and RMSE
- **Tau:** R^2 doubles from 0.26 to 0.54. SD and RMSE decrease.
- **LWP:** R^2 increases from 0.13 to 0.23. Mean difference, SD, and RMSE decrease.

Question: To reduce SD, use different retrieval method (e.g., IR) to retrieve tau for optically thin clouds?

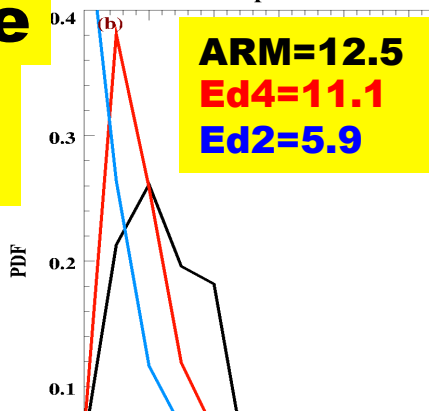


Over open Arctic Ocean ($R_{sfc} \sim 0.05$)

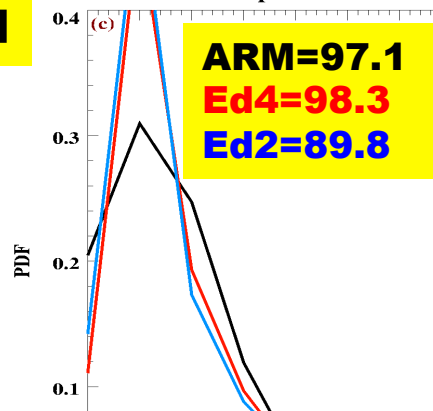
Open Arctic Ocean



Open Arctic Ocean



Open Arctic Ocean



LWP

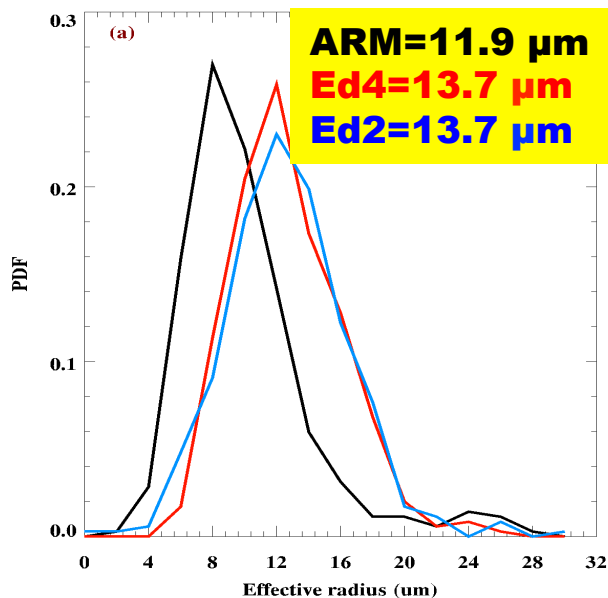
re

Tau

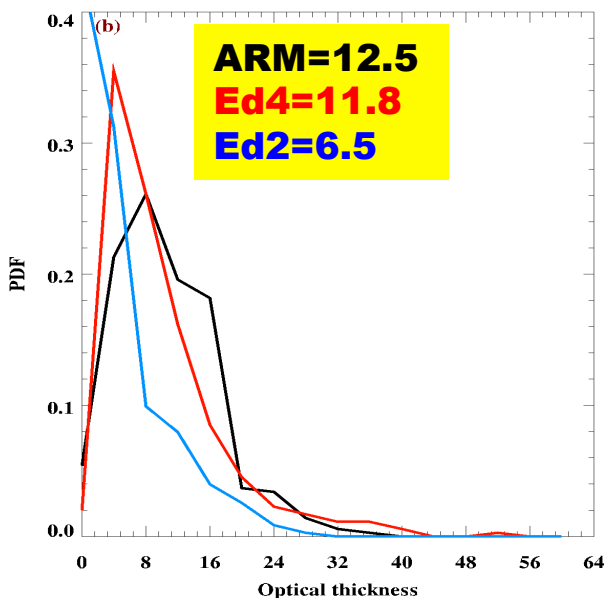
NO big differences between Ocean and Land for $R_{sfc} < 0.3$
Summary: The re, tau and LWP comparisons are similar to the MBL cloud comparisons at the ARM Azores site (Xi et al. 2014)

Over Land side of the ARM NSA Site ($R_{sfc} < 0.3$)

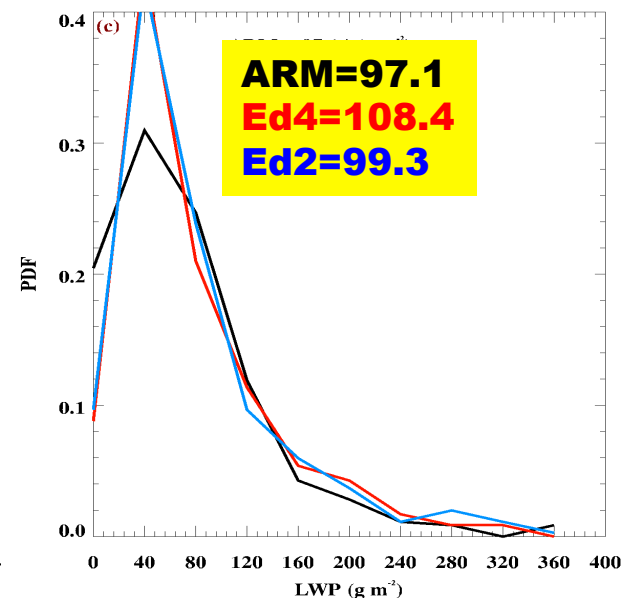
No snow on land



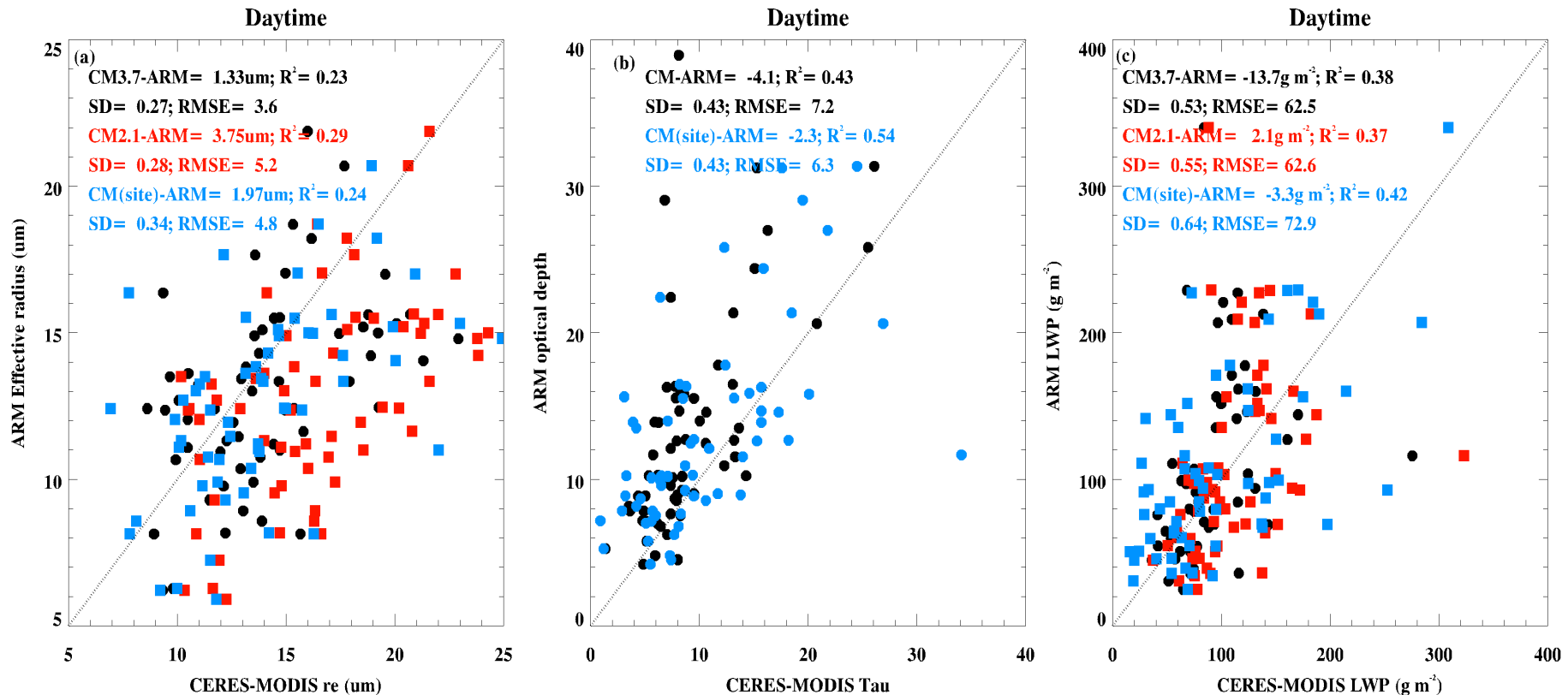
No snow on land



No snow on land



MBL cloud property Comparisons at the ARM Azores site (Ed4 only)



Re: ARM=12.8 μ m, CM(3.7 μ m)=14.1 μ m, CM(2.1 μ m)=16.5 μ m

Optical depth: ARM=13.7, CM=9.6

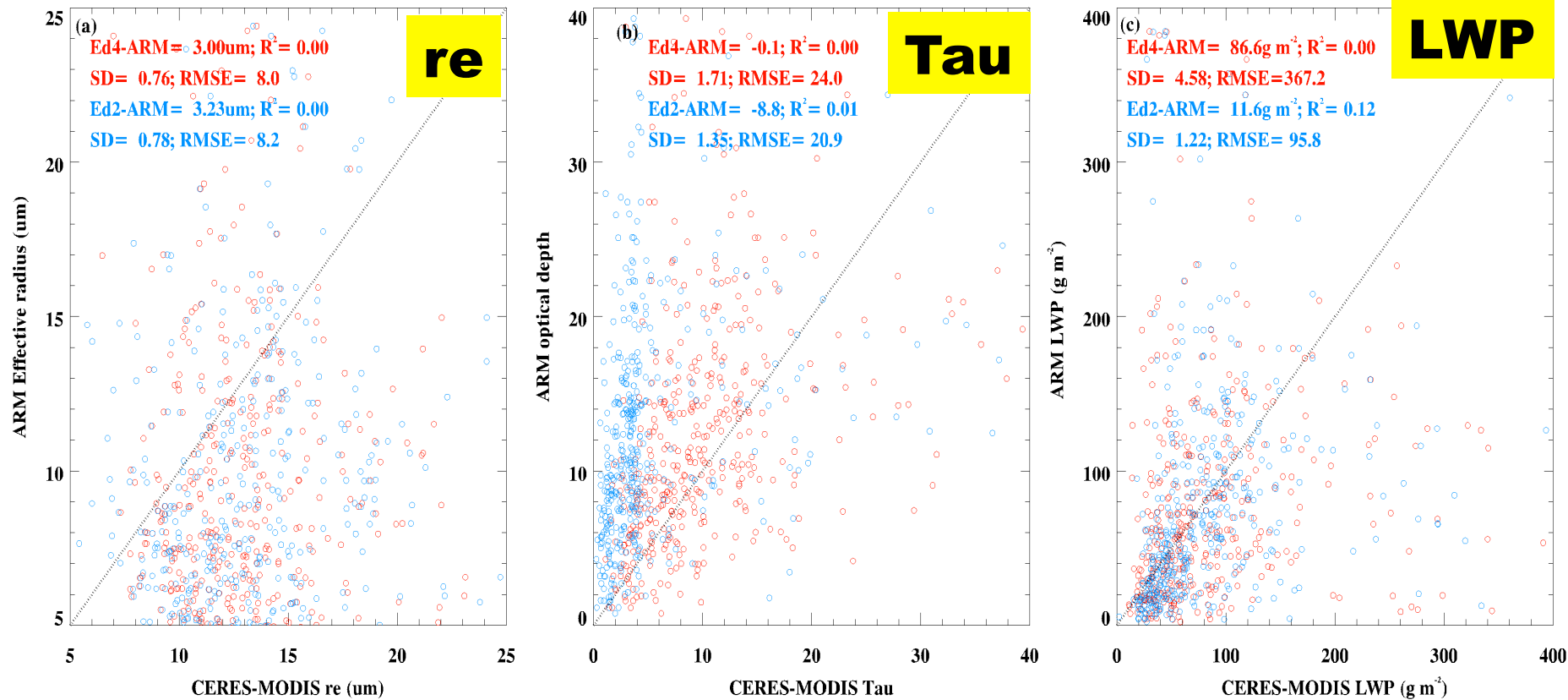
LWP: ARM=114.2 g m⁻², CM(3.7)=100.5 g m⁻², CM(2.1)=116.3 g m⁻²

Objective 2

(Snow/ice, 297 Samples)

Any differences between ARM and CERES **Ed4/Ed2 cloud retrievals over snow/ice surfaces?**

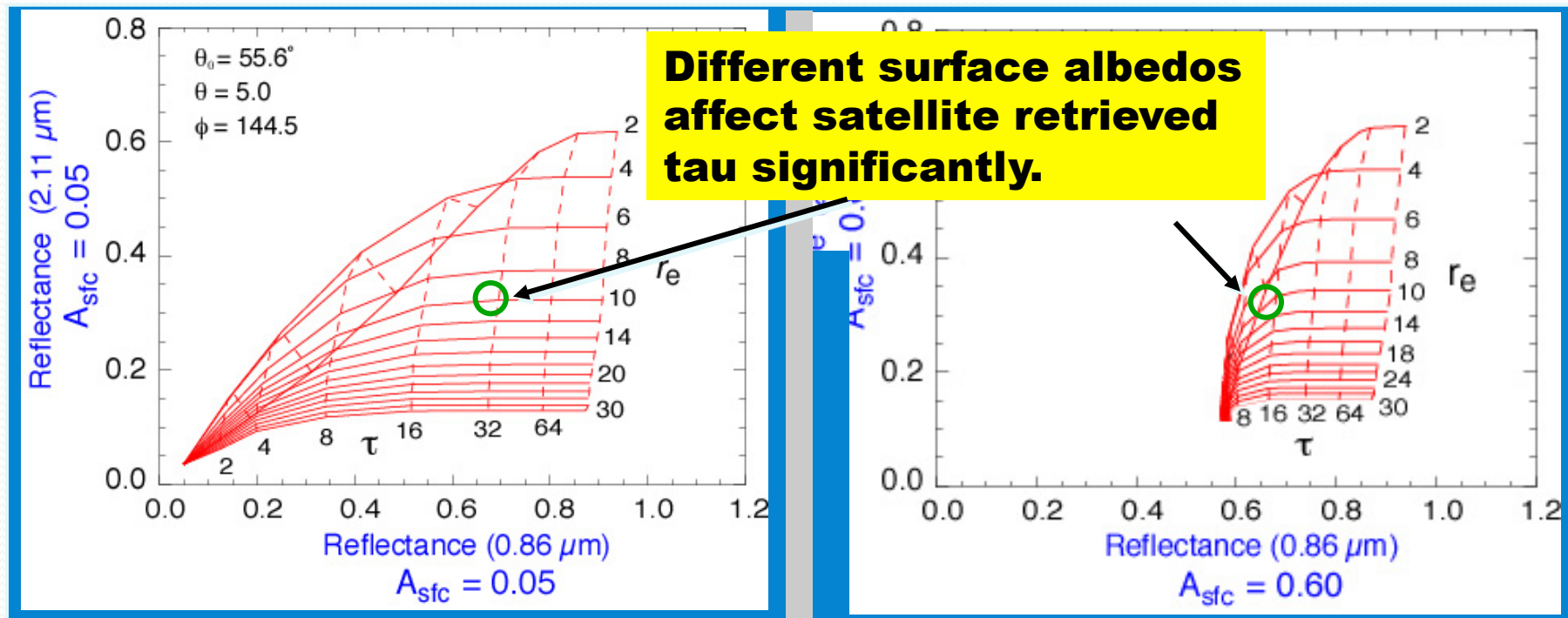
Comparisons of ARM results with CERES **Ed4** and **Ed2** snow surface, all samples (297)



- **Re:** **Ed4/Ed2** are 3 μm larger than ARM with no correlation.
- **Tau:** **Ed4** - ARM is -0.1 with $R^2 = 0.0$, **Ed2** - ARM = -8.8.
- **LWP:** **Ed4** - ARM is 86 g m^{-2} with $R^2 = 0.0$, **Ed2** - ARM = 12 g m^{-2} due to higher re and lower tau.

Question: Why are the comparisons worse than NO snow ?

Satellite retrieved r_e and optical depth over snow/ice surface $R_{sfc}=0.6$

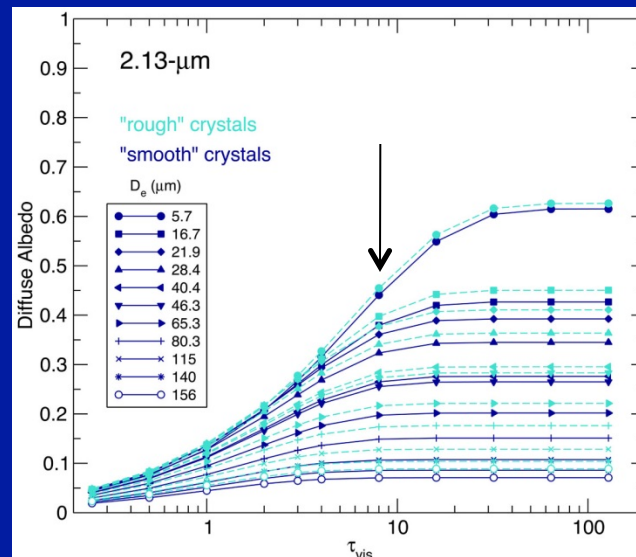
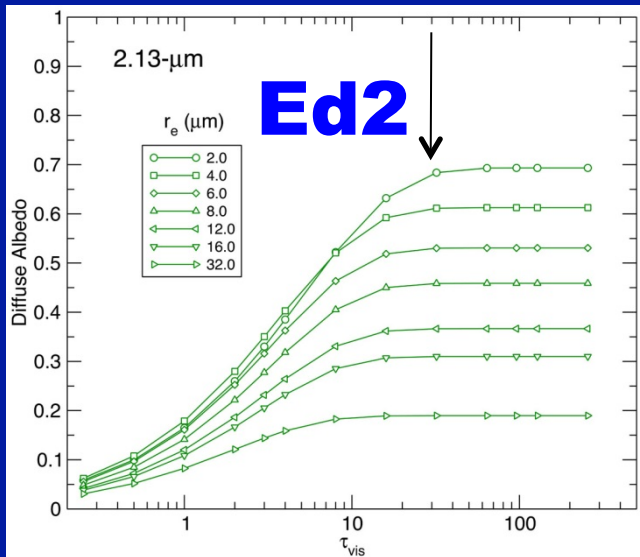


**Liquid water cloud
ocean surface**

**Liquid water cloud
ice surface**

- Given the same 0.86- μm reflectance, τ at $R_{sfc}=0.6$ is 50% of τ at $R_{sfc}=0.05$, while r_e values only change slightly.
- Over snow surfaces, we also use the samples with $\tau > 4$ (252 samples) to keep consistent to NO snow comparison.

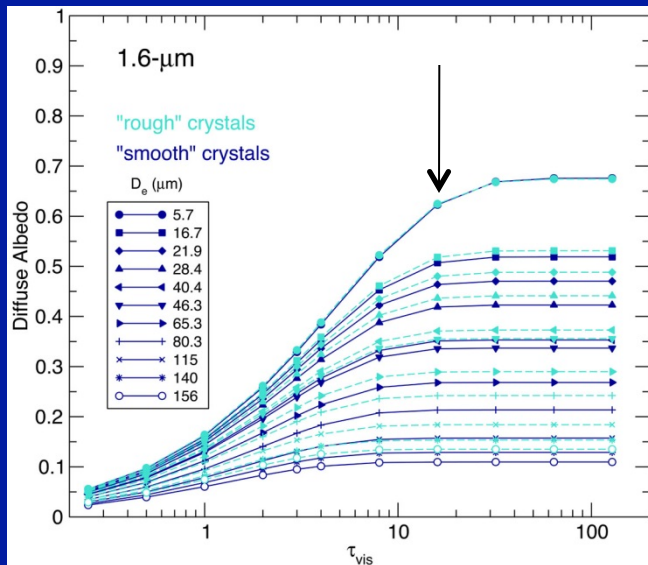
Diffuse Cloud Albedos from Adding-Doubling Computations



2.13- μm

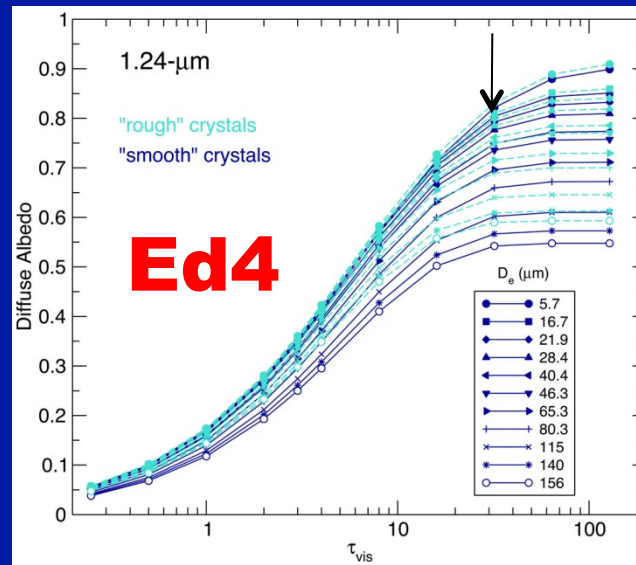
- Upper Limit:
Tau = 16

1.6 μm



- Upper Limit:
Tau = 16

1.24 μm



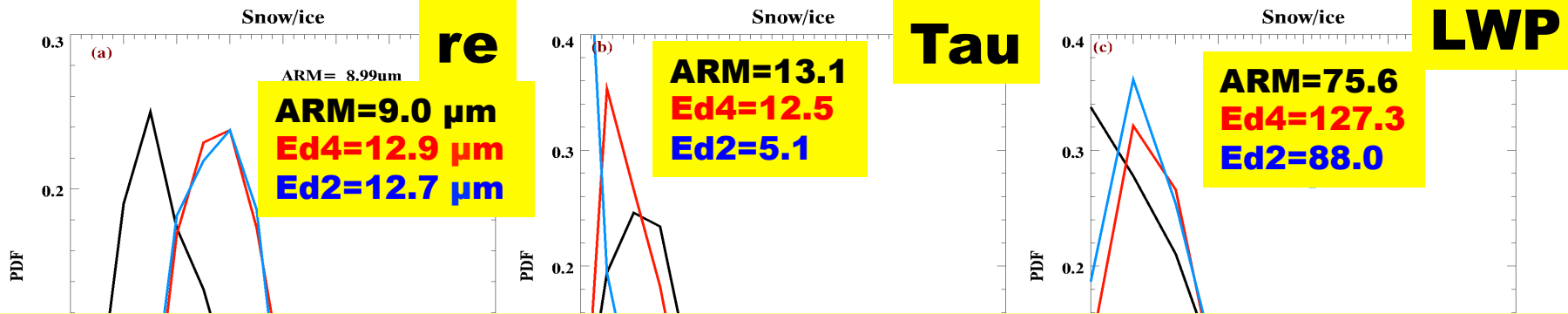
- Upper Limit:
Tau > 32

1.24 μm channel has more promise for getting most of full range of tau

- MODIS team also uses 1.24 μm over snow

- but still very sensitive to surface albedo

Comparisons for $R_{sfc} > 0.3$: Snow/ice, $\tau > 4$ (252 Samples)



Retrievals over NO snow surfaces:

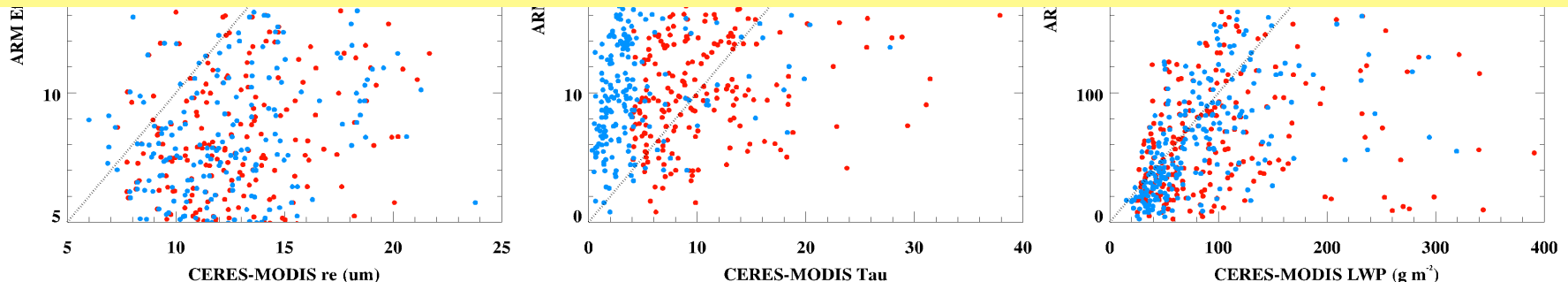
ARM = 11.9 μm
Ed4 = 13.8 μm
Ed2 = 13.7 μm

ARM = 12.5
Ed4 = 11.5
Ed2 = 6.3

ARM = 97.1
Ed4 = 103.4
Ed2 = 94.9

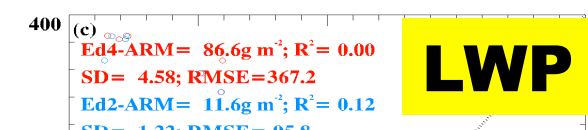
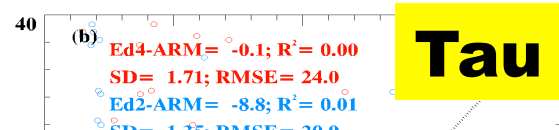
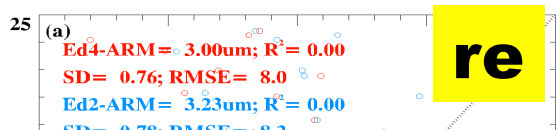
Compared to the corresponding retrievals without snow,

- ARM re is 3 μm lower, tau is 0.6 higher and LWP is -22 gm^{-2} .
- Ed4 re is 1 μm lower, tau are 1 higher, LWP is 24 gm^{-2} more
- Over snow, $\Delta \text{Tau}(\text{Ed4} - \text{ARM}) = -0.6$, but $\Delta \text{Re}(\text{Ed4}/\text{Ed2} - \text{ARM}) = 3.9 \mu\text{m}$, doubled the difference for no snow, resulting in $\Delta \text{LWP}(\text{Ed4} - \text{ARM}) = 51 \text{ gm}^{-2}$.

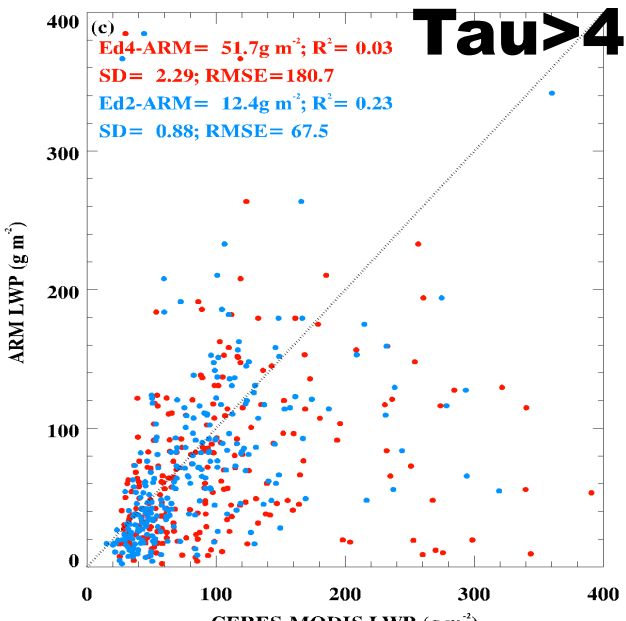
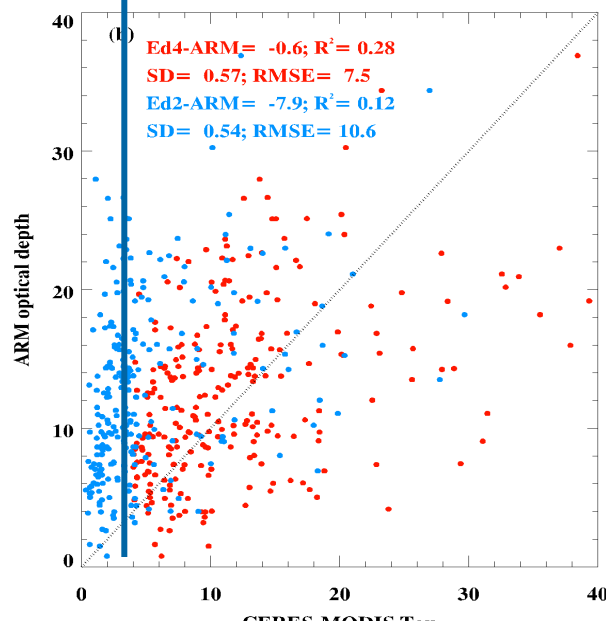
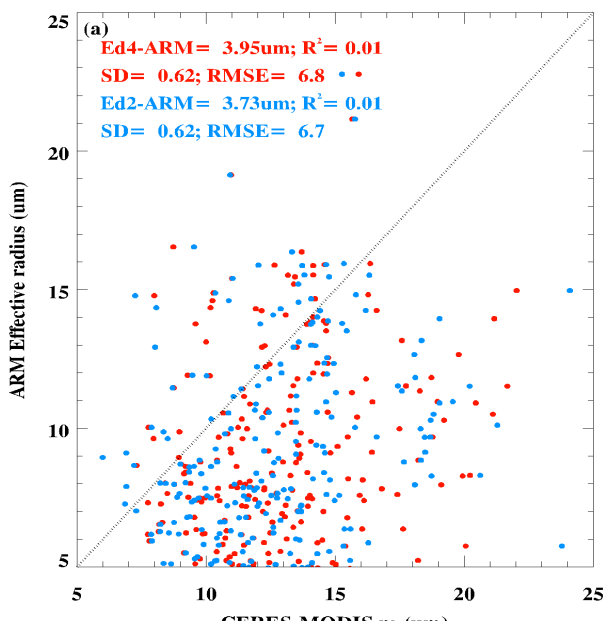
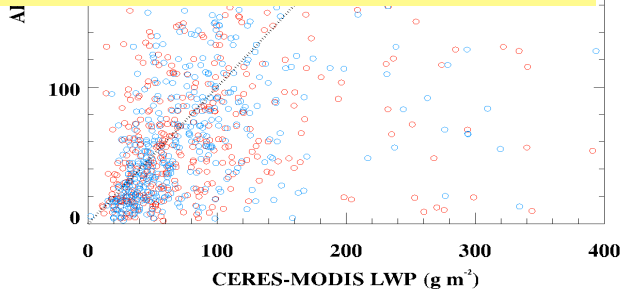
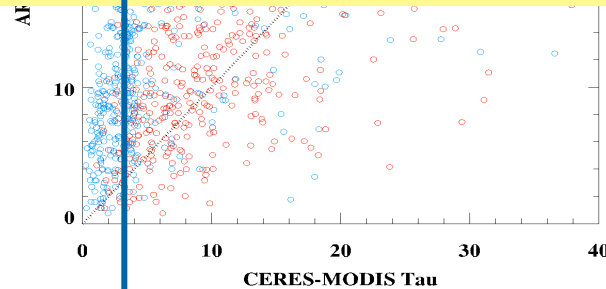
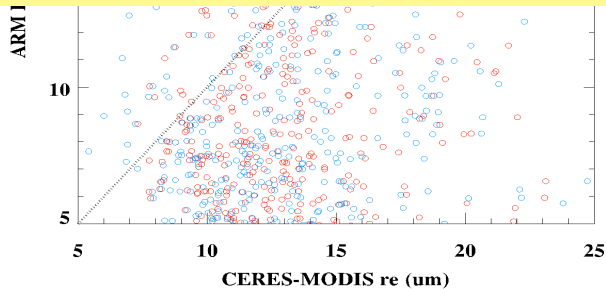


Difference between all samples & tau >4 (Snow)

All



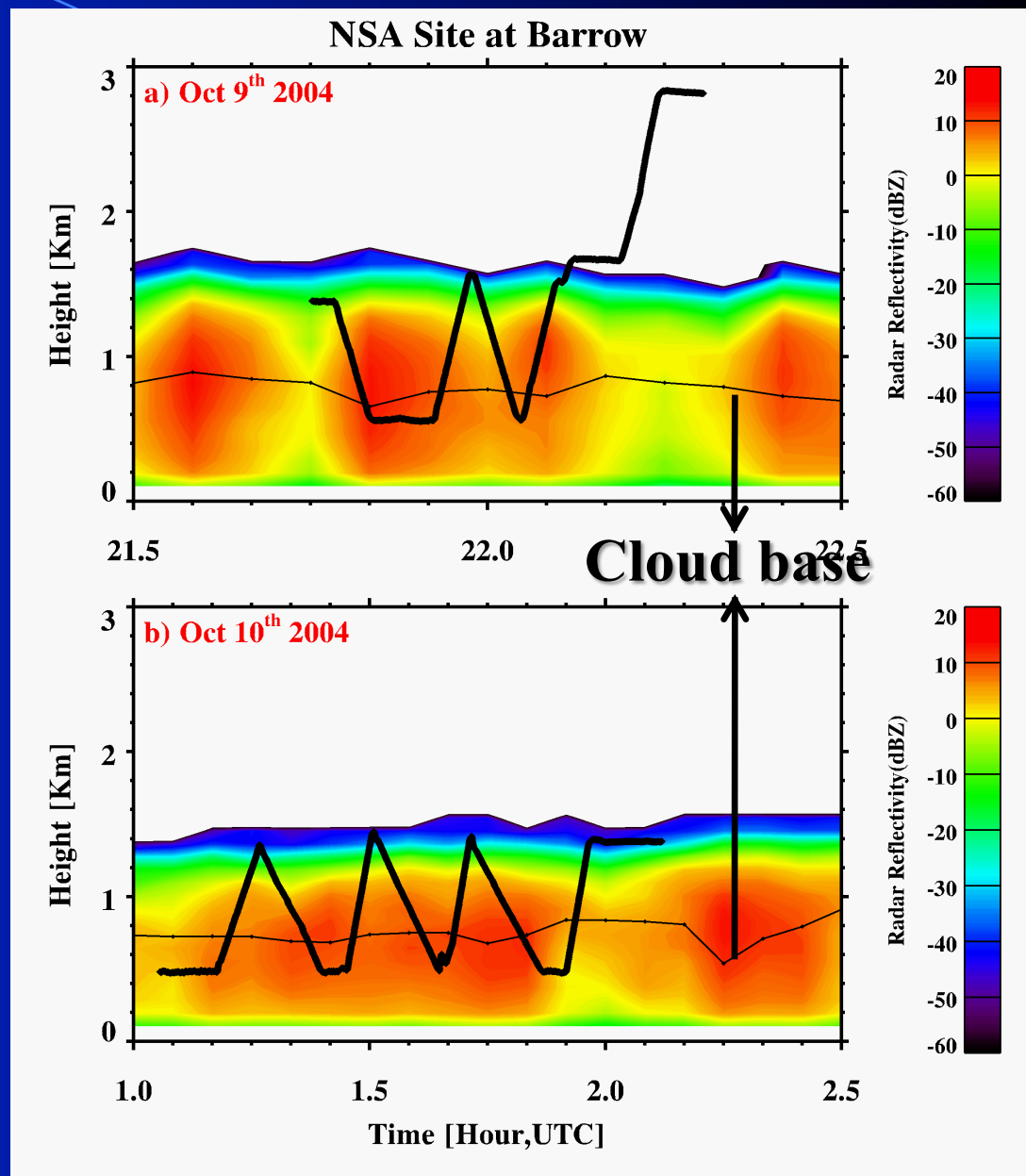
- **Re:** Little improvement in mean, R^2 , SD, and RMSE
- **Tau:** R^2 increases from 0.00 to 0.28; SD and RMSE decrease.
- **LWP:** Differences in mean, SD, and RMSE are decreased



In-Situ Measurements during M-PACE (10/2004)

➤ Combine MMCR and in-situ data

- Fly over a certain distance away from Barrow site
- MMCR cloud top slightly higher than in-situ data
- 11 vertical profiles
 - ❖ 4 on 9 October
 - ❖ 7 on 10 October

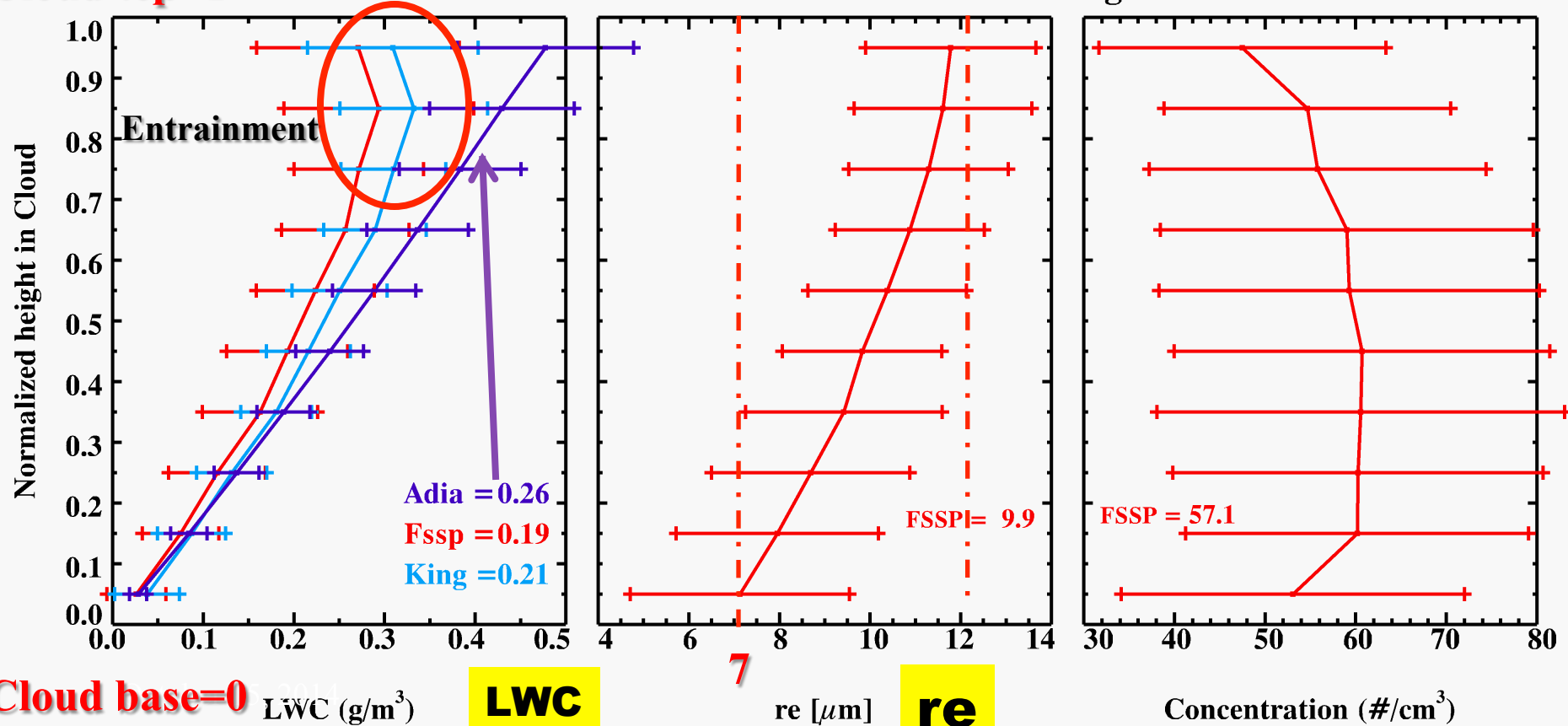


Aircraft In-Situ Measurements

- Liquid phase properties
 - Two main probes: FSSP and King

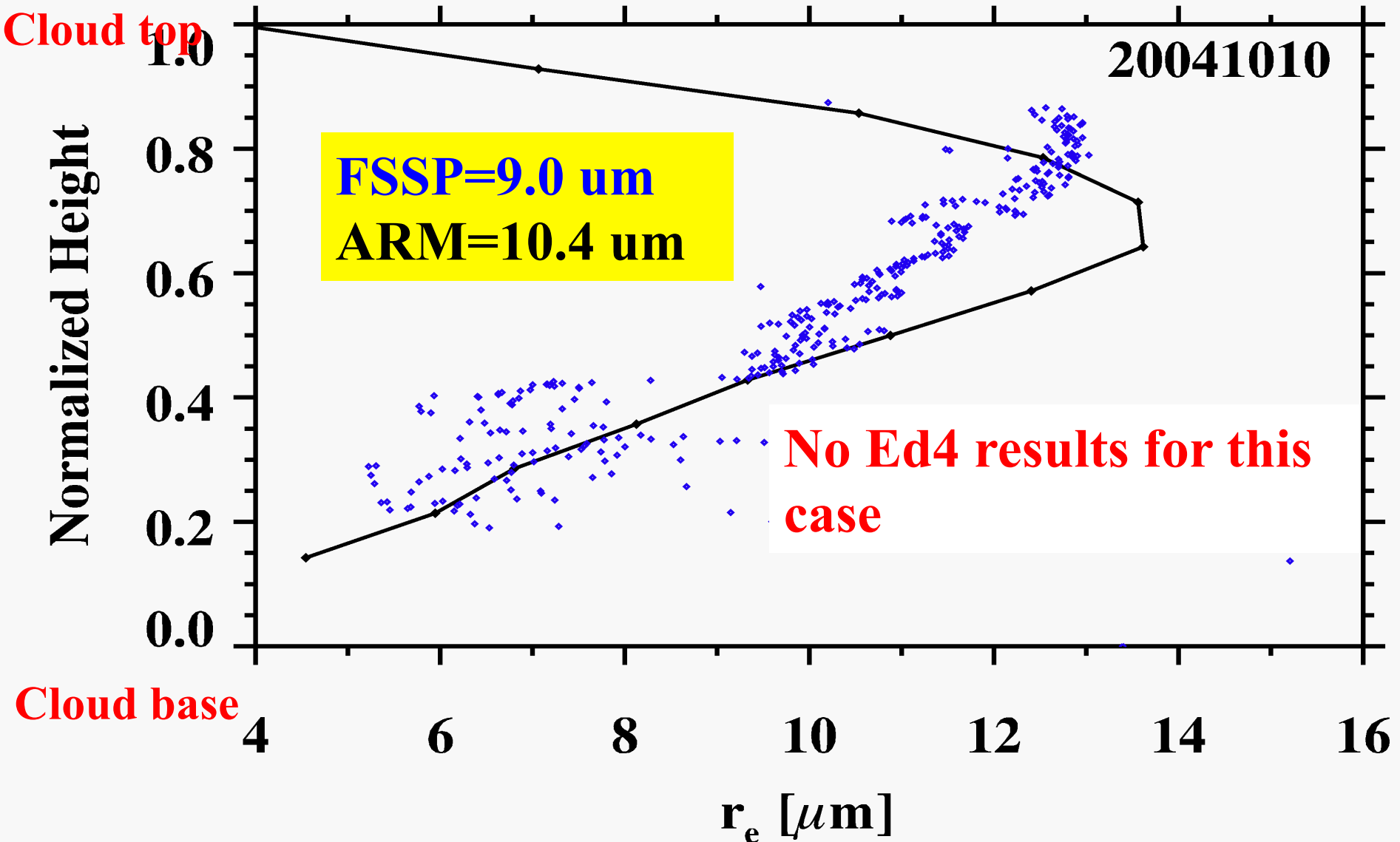
Cloud top=1

Citation Aircraft in Situ Measurements during M-PACE



Cloud base=0

ARM LWC and Re retrievals agree well with aircraft in-situ data

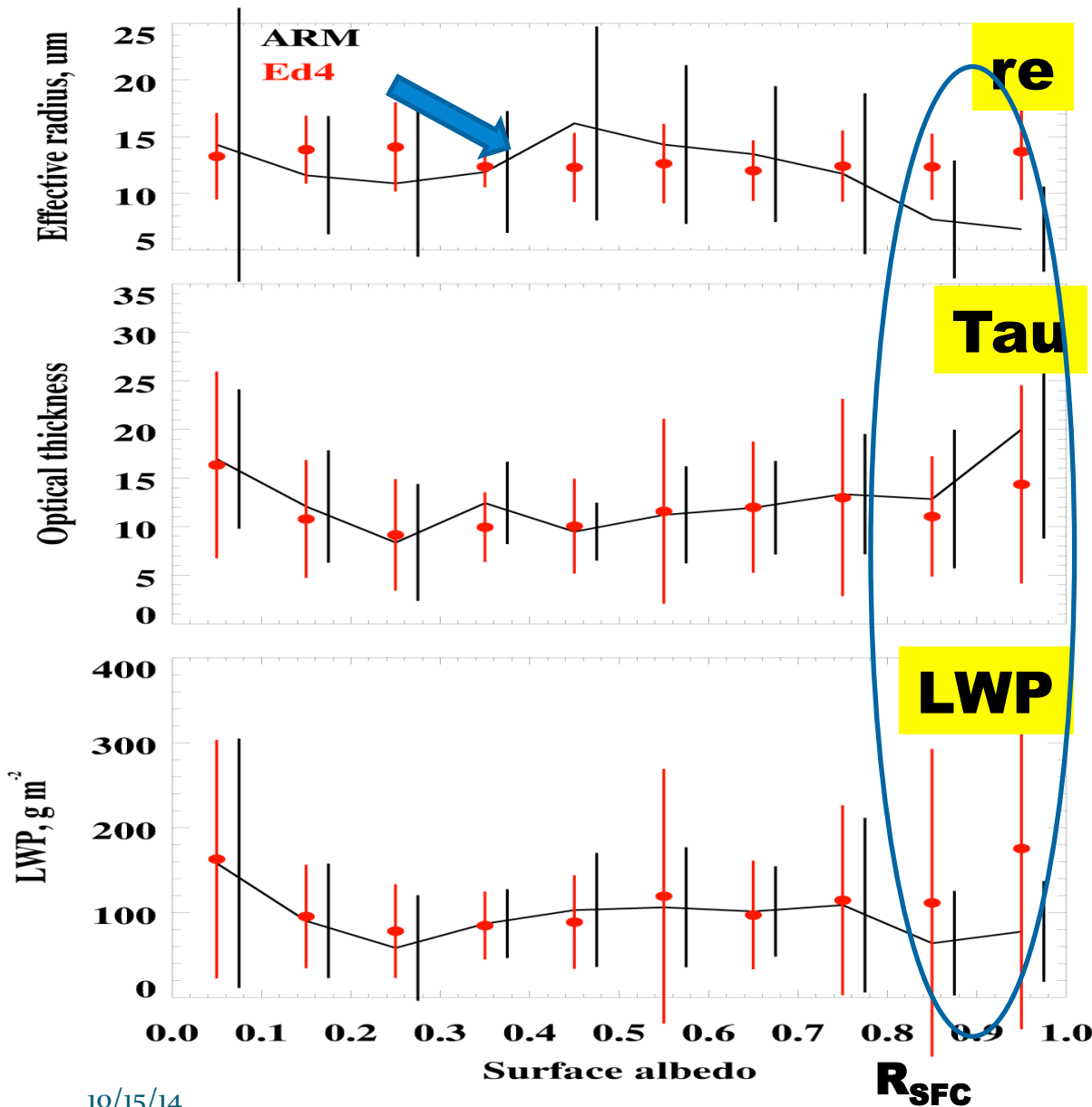


Objective 3

(604 samples for $\tau > 4$)

How do the surface and CERES-MODIS retrieved cloud properties change with surface albedos and solar zenith angles?

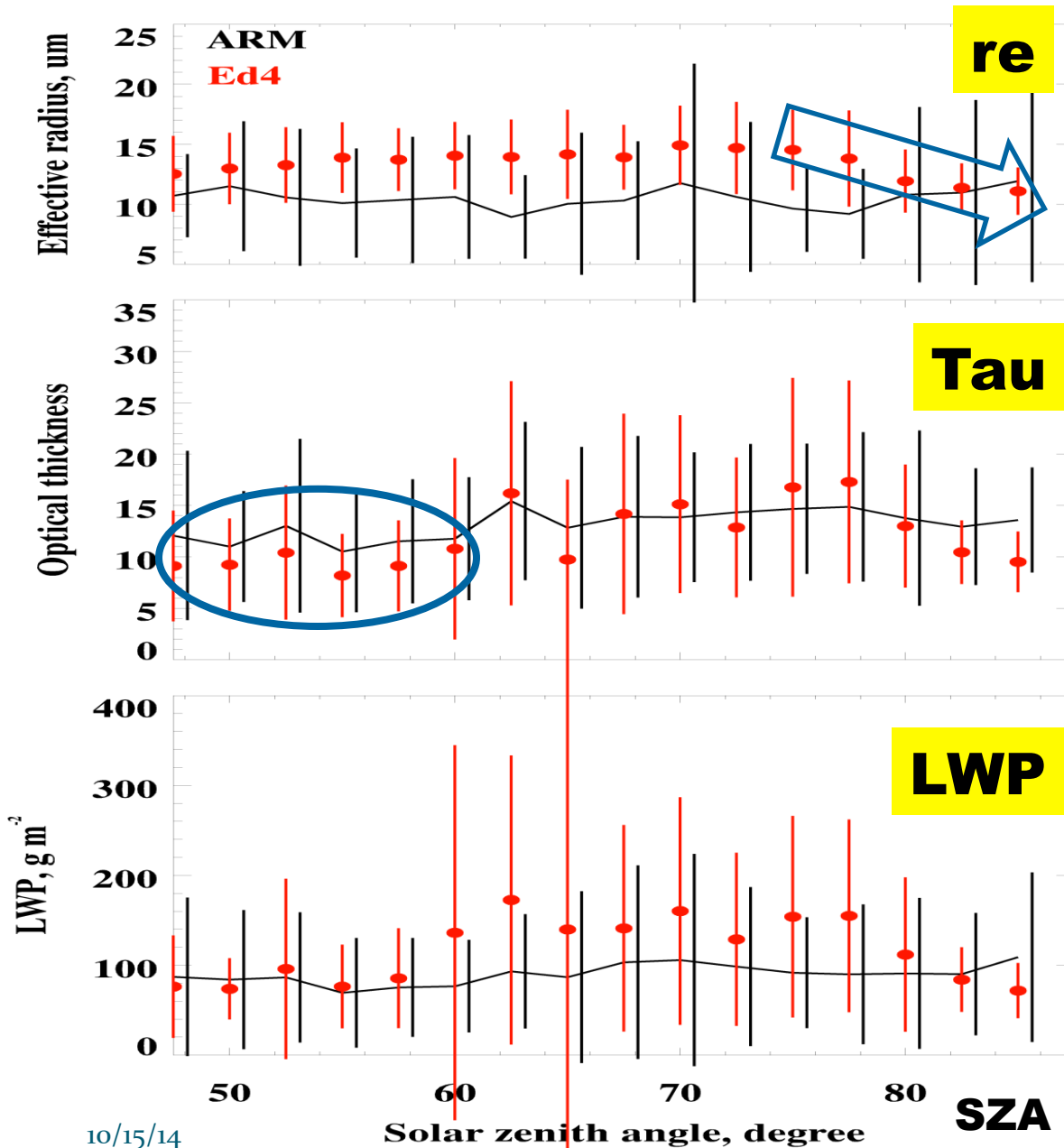
Re, tau and LWP vs. Surface Albedos



→ ARM retrieved re values follow LWP variations, peaks at $R_{SFC}=0.4-0.5$, then decreases with R_{SFC} .
→ Ed4 decreases at $R_{SFC}=0.3$.
→ $\Delta re(Ed4 - ARM)$ is larger when $R_{SFC} > 0.8$

Both ARM and Ed4 τ (and LWP) agree very well, and almost independent on surface albedo except for $R_{SFC} > 0.8$.

Re, tau and LWP vs. Solar Zenith Angles



Same as ARM LWP, ARM re values do not change with SZA.

Ed4 re is also independent on SZA, but decreases at SZA > 75°.

ARM tau is also invariant to SZA, but Ed4 tau values are lower than ARM at SZA < 60°, after that they fluctuate around ARM values.

Summary and Conclusions (1)

Retrievals without snow ($R_{sfc} < 0.3$):

Re: ARM=11.9, **Ed4=13.8**, **Ed2=13.7**

Tau: ARM=12.5, **Ed4=11.5**, **Ed2=6.3**

LWP: ARM=97.1, **Ed4=103.4**, **Ed2=94.9**

Retrievals with snow ($R_{sfc} > 0.3$):

Re: ARM=9.0, **Ed4=12.9**, **Ed2=12.7**

Tau: ARM=13.1, **Ed4=12.5**, **Ed2=5.1**

LWP: ARM=75.6, **Ed4=127.3**, **Ed2=88.0**

- 1) Compared to ARM retrievals, there is significant improvement in optical depth from **Ed2** to **Ed4**, while their re values are almost the same.
- 2) The cloud comparisons between ARM and **Ed4** without snow are best (Corr=0.74), they are similar to the MBL comparisons at the ARM Azores site.
- 3) Over snow, the difference in re between ARM and **Ed4** is double those without snow, while tau difference is same.

Summary and Conclusions (2)

4) Impact of surface albedo on cloud properties:

- **There are almost no impact on optical depth and **Ed4** retrievals, but ARM retrieved τ values follow LWP variations, decreases with R_{SFC} when $R_{SFC} > 0.5$,**
- **Differences in τ , τ and LWP between ARM and **Ed4** rise & correlations smaller when $R_{SFC} > 0.8 \rightarrow$ Need further study.**

5) Impact of Solar Zenith Angle (SZA) on cloud properties:

- **ARM retrieved τ , τ and LWP, as well as **Ed4** τ are invariant with SZA, while **Ed4** τ values are lower than ARM ones at $SZA < 60^\circ$.**

Thanks for your attention!



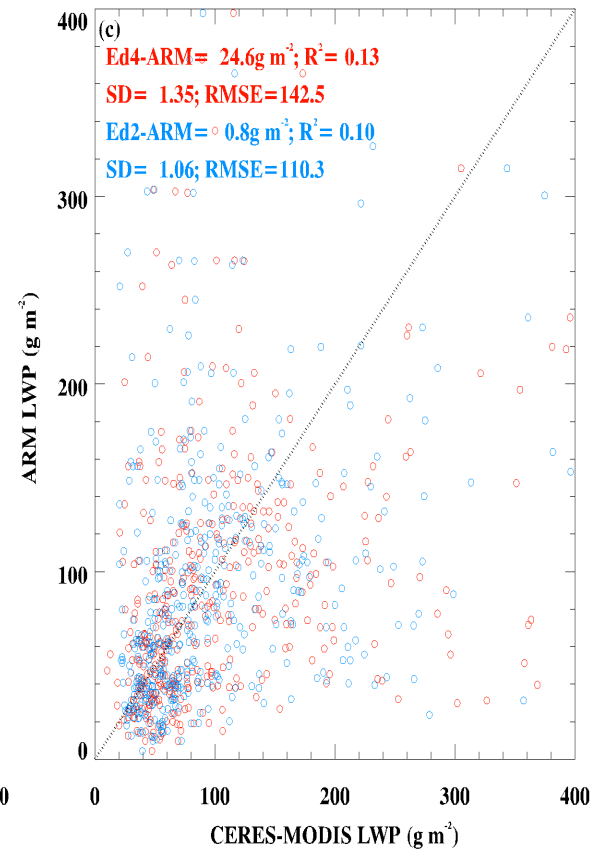
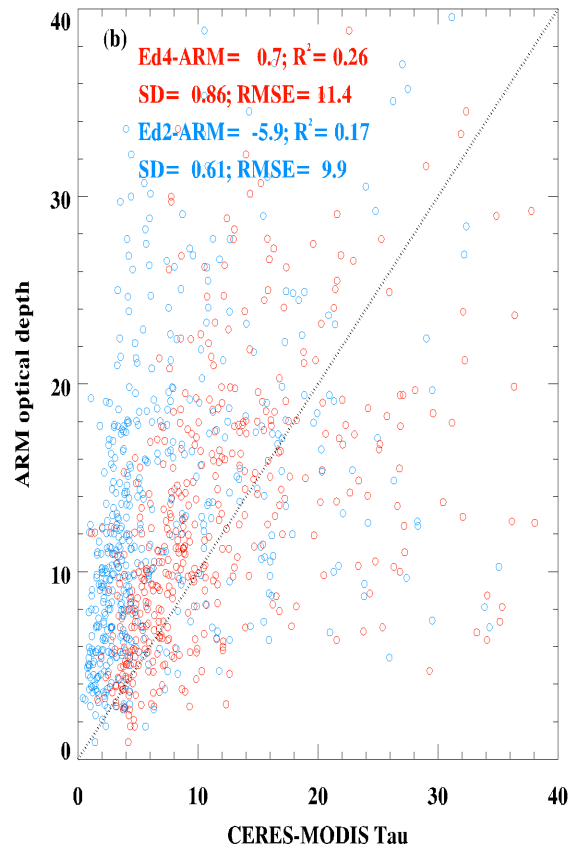
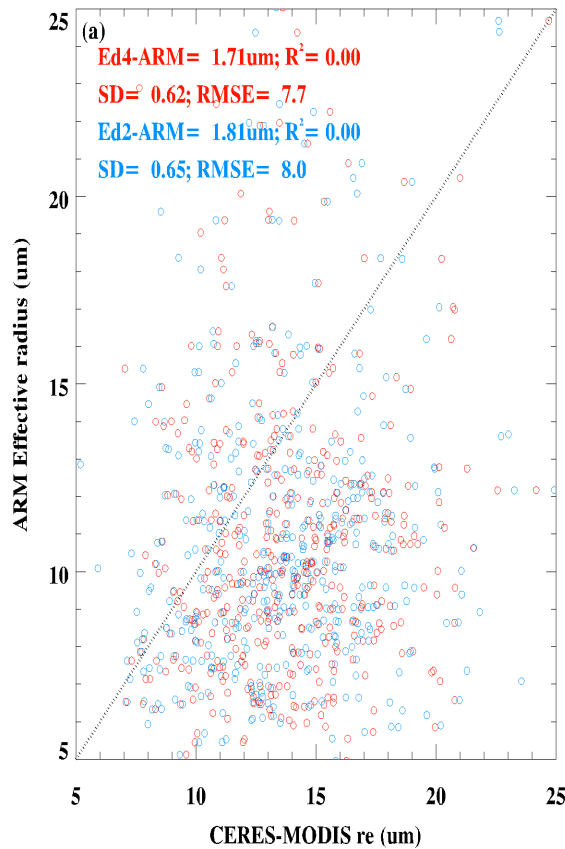
**A big challenge for both
Surface and satellite to retrieve
cloud properties with high SZA
and surface albedo**

Comparisons for $R_{sfc} < 0.3$: No Snow (404 Samples, no $\tau < 4$ threshold)

re

Tau

LWP



Comparisons for $R_{sfc} > 0.3$: Snow/ice (169 Samples)

Retrievals without snow:

Re: ARM=11.9, **Ed4=13.8**, **Ed2=13.7**, $R^2=0.01$, $R^2=0.00$

Tau: ARM=12.5, **Ed4=11.5**, **Ed2=6.3**, $R^2=0.54$, $R^2=0.23$

LWP: ARM=97.1, **Ed4=103.4**, **Ed2=94.9**, $R^2=0.23$, $R^2=0.13$

Compared to the retrievals without snow,

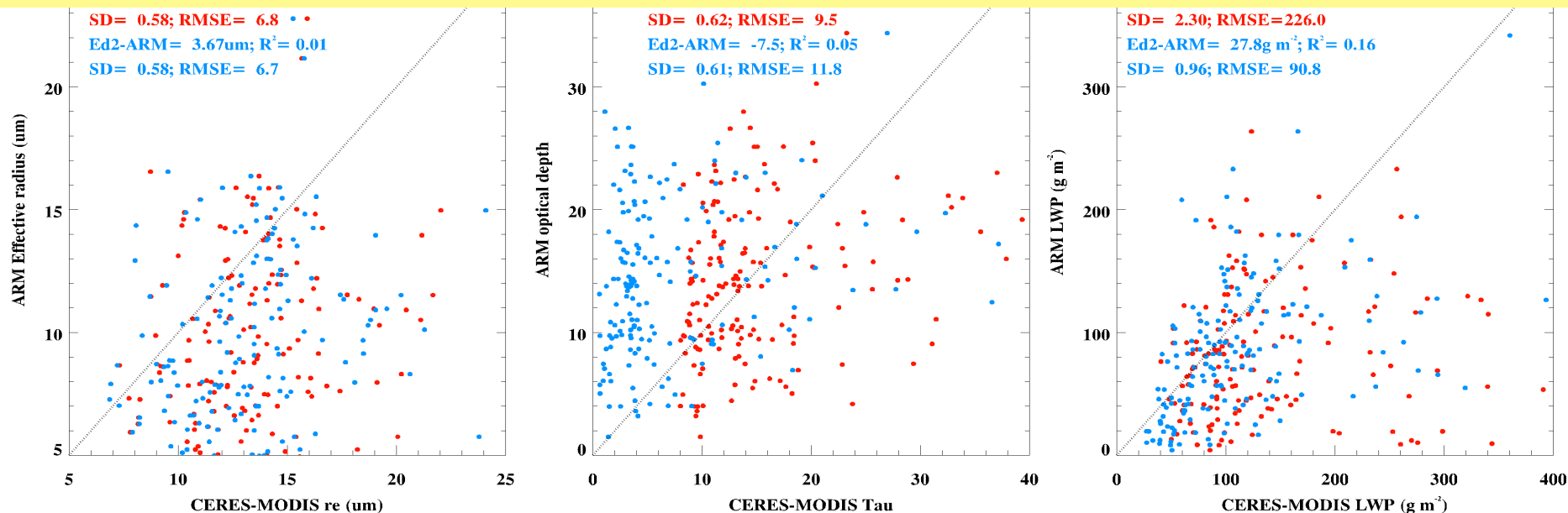
- **ARM re** is 2 μm lower, while CM **Ed2** and **Ed4** are same.

The differences between ARM and **Ed4/Ed2 is doubled.**

- **ARM tau** is 2.4 higher, **Ed4** is 5.4, and **Ed2** is 1.1 with lower R^2

The difference between ARM and **Ed4 is 2, also doubled.**

- **ARM LWP** is -7 g m^{-2} , but **Ed4** is doubled ARM LWP.



The effect of surface albedo R_{sfc} on the retrieval of Arctic cloud microphysical properties (Dong and Mace 2003)

For non-absorbing clouds, due to multiple reflections between cloud layer and reflecting surface, (a) Cloud albedo increases and (b) cloud transmission decreases with increased R_{sfc} .

R_{sfc} becomes dominant for $R_{sfc} > 0.6$.

(c) For the ARM PSP (0.3-3 μm) measured effective solar transmission (γ), which is used in our ground-based retrieval algorithm, increases as R_{sfc} increases due to multiple reflections between surface and cloud layer.

- $$re = -1.496 + 2.49 LWP + 10.25 \gamma (1 - R_{sfc}) - 0.25 \mu_0 + 20.28 LWP \gamma (1 - R_{sfc}) - 3.14 LWP \mu_0$$

